



## Account-Opening For Non-individual Customers

Thank you for choosing us for your business needs.

We are pleased to attach herewith an account-opening pack to assist you in opening an account with us. It includes a document checklist, a non-individual account application form and other forms. The documents in the checklist must be provided to the Bank to facilitate the opening of the account.

You can obtain and read the terms and conditions governing our non-individual accounts at [uob.com.sg](http://uob.com.sg) or by clicking on the following hyper links:

- [Terms and Conditions Governing Accounts and Services](#)
- [Additional Terms and Conditions Governing Accounts and Services](#)

If you have any enquiry, please contact your Relationship manager or visit any of our branches or call **1800 226 6121** to speak with our customer service officers.

We look forward to being of service to you.



## Document Checklist:

Sole Proprietorship (where the sole proprietor is an individual)*	
<b>Please bring the following documents to the branch for account opening:</b>	
<input type="checkbox"/>	<b>Non-individual Account Application Form</b> Please complete the form with the required details. The completed form must be signed in the presence of an authorised UOB officer.
<input type="checkbox"/>	<b>Original identification documents of:</b> <ul style="list-style-type: none"><li>• Sole proprietor</li><li>• All approved signatories</li></ul> The above individuals must be present at the branch with their original identification documents (such as NRIC or passport) to open the account.
<input type="checkbox"/>	<b>FATCA Form</b> <ul style="list-style-type: none"><li>• Self-Certification (Entity)</li><li>• U.S. IRS Form W-8BEN-E / W-8IMY / W-8ECI / W-8EXP / W-9</li></ul> U.S. IRS forms are available at <a href="http://apps.irs.gov/app/picklist/list/formsPublications.html">http://apps.irs.gov/app/picklist/list/formsPublications.html</a>
<b>If applicable, please also provide:</b>	
<input type="checkbox"/>	<b>Proof of residential address</b> If the residential address is not stated in an identification document, please provide original bank statements or utility bills issued to a residential address in the last three months as proof.

\* For a sole proprietorship where the sole proprietorship is a corporation/limited liability partnership/partnership/association/club/society, please visit any of our branches or call 1800 226 6121 for relevant details.

### Important note:

The Bank's receipt of the application form and supporting documents does not bind the Bank to open an account. The Bank reserves the right to reject the application without disclosing any reason.

### Notes:

1. The Bank reserves the right to conduct a search on the sole proprietorship and any cost incurred will be payable by the sole proprietorship. Search fees paid by the sole proprietorship are non-refundable in the event that the Bank rejects the application to open the account.
2. A service charge will be levied on accounts with an average daily balance that is below the amount set by the Bank. Please visit [uob.com.sg](http://uob.com.sg) for the prevailing account fees and service charges.
3. The Bank may request additional supporting documents as it deems necessary.

**APPLICATION FOR NON-INDIVIDUAL ACCOUNT(S)**

**To: UNITED OVERSEAS BANK LIMITED / FAR EASTERN BANK LIMITED**  
(each a "Bank")

**APPLICANT PARTICULARS**

Applicant Name: \_\_\_\_\_ Registered ID: \_\_\_\_\_

\* Not required to be filled if you have an existing account under the same applicant name

\* Registered Address: \_\_\_\_\_ Contact Information:-  
Office No: \_\_\_\_\_  
Mailing Address: \_\_\_\_\_ Fax No: \_\_\_\_\_  
Email: \_\_\_\_\_

\* Date of Incorporation: \_\_\_\_\_ \* Nature of Business: \_\_\_\_\_  
Business Activity and Products traded: \_\_\_\_\_  
\* Country of Incorporation: \_\_\_\_\_ Primary Clientele Base: \_\_\_\_\_  
\* Country of Business Operation: \_\_\_\_\_ Annual Turnover: \_\_\_\_\_

**SIGNING INSTRUCTIONS**

(Please tick either box)

- Signing Condition as per my / our existing a/c to be in the same currency as accounts applied for. Please specify a/c no: \_\_\_\_\_
- Per Operating Mandate - attached

**ACCOUNT(S) APPLIED FOR**

**FOR BANK USE**

Product: \_\_\_\_\_ (CCY: \_\_\_\_\_)  
Account Name: \_\_\_\_\_

**Additional Account Information**

**Purpose of Account:**  Transactional  Investment  Loan Repayment  
 Others (pls specify) \_\_\_\_\_

**Source of Funds:** [Origin of incoming funds (Do not state other banks as the source). You may tick more than one box]  
 Business Proceeds  Services Rendered  Return On Investments  
 Commission or Contract Fee  Others (pls specify) \_\_\_\_\_

**Country of Funds Origin:**  Singapore  Others (pls specify) \_\_\_\_\_

A/C No:  
Cheque Range:

Product: \_\_\_\_\_ (CCY: \_\_\_\_\_)  
Account Name: \_\_\_\_\_

**Additional Account Information**

**Purpose of Account:**  Transactional  Investment  Loan Repayment  
 Others (pls specify) \_\_\_\_\_

**Source of Funds:** [Origin of incoming funds (Do not state other banks as the source). You may tick more than one box]  
 Business Proceeds  Services Rendered  Return On Investments  
 Commission or Contract Fee  Others (pls specify) \_\_\_\_\_

**Country of Funds Origin:**  Singapore  Others (pls specify) \_\_\_\_\_

A/C No:  
Cheque Range:

Product: \_\_\_\_\_ (CCY: \_\_\_\_\_)  
Account Name: \_\_\_\_\_

**Additional Account Information**

**Purpose of Account:**  Transactional  Investment  Loan Repayment  
 Others (pls specify) \_\_\_\_\_

**Source of Funds:** [Origin of incoming funds (Do not state other banks as the source). You may tick more than one box]  
 Business Proceeds  Services Rendered  Return On Investments  
 Commission or Contract Fee  Others (pls specify) \_\_\_\_\_

**Country of Funds Origin:**  Singapore  Others (pls specify) \_\_\_\_\_

A/C No:  
Cheque Range:

**CONFIRMATION & AGREEMENT (To be signed by person(s) authorised to open the Account(s))**

We, the aforesaid Applicant named in this Application, through our approved person(s) named below:

- hereby request United Overseas Bank Limited / Far Eastern Bank Limited ("Bank") to open the Account(s) specified by us in the Application;
- acknowledge that the submission of this Application and its receipt by the Bank does not mean that the Bank has approved this Application. The Bank reserves the right to reject any Application without assigning any reason whatsoever;

**CONFIRMATION & AGREEMENT (To be signed by person(s) authorised to open the Account(s))**

3. confirm that we have obtained and agree to be bound by the Bank's prevailing Terms and Conditions Governing Accounts & Services and Additional Terms and Conditions Governing Accounts and Services (also available at uob.com.sg and at the Bank's branches);
4. confirm that we have read and understood the Bank's Privacy Notice (Corporate) (available at uob.com.sg and at the Bank's branches) which forms part of the terms and conditions governing our relationship with the Bank. We represent and warrant to the Bank that when providing the Bank with the personal data of the Relevant Individual(s) from time to time, we would have obtained the consent of the Relevant Individual(s) for the collection, use and disclosure of the personal data for Basic Banking Purposes, Co-branding Purpose, Research Purpose and Marketing Purpose as described in the Bank's Privacy Notice (Corporate);
5. agree that the Bank will not be liable to us for any actions the Bank considers appropriate in order to meet any obligation or requirement, either in Singapore or elsewhere in the world, in connection with the prevention of any unlawful activity including fraud, money laundering, terrorist activity, bribery, corruption, or tax evasion or the enforcement of any economic or trade sanction;
6. in consideration of the Bank accepting the Application herein, represent, warrant and agree with the Bank that the signature(s) on this Application form shall constitute the specimen signature(s) of all our approved signatory(s) for the time being for the purpose of operating the Account(s) specified in this Application unless and until we give notice to the Bank in writing of any change thereof;
7. acknowledge that we have received from the Bank the cheque book applied for in this Application;
8. hereby declare that the Applicant is a:  Resident in Singapore  
 Non-Resident in Singapore
9. confirm there has been no amendment made to our Memorandum and Articles of Association since the last time we provided a certified true copy to the Bank.  
 (please tick if applicable)

**Deposit Insurance Scheme:** Singapore Dollar deposits of non-bank depositors and monies and deposits denominated in Singapore dollars under the Supplementary Retirement Scheme are insured by the Singapore Deposit Insurance Corporation, for up to S\$50,000 in aggregate per depositor per Scheme member by law. Foreign currency deposits, dual currency investments, structured deposits and other investment products are not insured.

\_\_\_\_\_  
 Authorised Signature / Name:  
 NRIC / Passport No:  
 Date:

\_\_\_\_\_  
 Authorised Signature / Name:  
 NRIC / Passport No:  
 Date:

**FOR BANK USE**

ID Type	CTO Code:	No. of Cheque Books:	Date of Resolution:
ID Country:	Industry Code:	(To indicate if more than one)	
Customer Type:			
Attended By / Introduced By:		Approved By / Recommended By:	
Signature & Name	Date	Signature & Name	Date

**Additional Account Information**

Product \_\_\_\_\_ Account number \_\_\_\_\_ (CCY: \_\_\_\_\_)

Deposit: Anticipated No of Transactions / month: \_\_\_\_\_ Deposit: Anticipated Amount / month (S\$'000): \_\_\_\_\_

Withdrawal: Anticipated No of Transactions / month: \_\_\_\_\_ Withdrawal: Anticipated Amount / month (S\$'000): \_\_\_\_\_

Product \_\_\_\_\_ Account number \_\_\_\_\_ (CCY: \_\_\_\_\_)

Deposit: Anticipated No of Transactions / month: \_\_\_\_\_ Deposit: Anticipated Amount / month (S\$'000): \_\_\_\_\_

Withdrawal: Anticipated No of Transactions / month: \_\_\_\_\_ Withdrawal: Anticipated Amount / month (S\$'000): \_\_\_\_\_

Product \_\_\_\_\_ Account number \_\_\_\_\_ (CCY: \_\_\_\_\_)

Deposit: Anticipated No of Transactions / month: \_\_\_\_\_ Deposit: Anticipated Amount / month (S\$'000): \_\_\_\_\_

Withdrawal: Anticipated No of Transactions / month: \_\_\_\_\_ Withdrawal: Anticipated Amount / month (S\$'000): \_\_\_\_\_

Principal types of Products trading In: \_\_\_\_\_

Name of Major Supplier(s): \_\_\_\_\_ Country of Major Supplier(s): \_\_\_\_\_

Major Supplier Industry \_\_\_\_\_

Name of Major Buyer(s): \_\_\_\_\_ Country of Major Buyer(s): \_\_\_\_\_

Major Buyer Industry \_\_\_\_\_

Industry Risk Influenced By: \_\_\_\_\_

Name of Core Participant(s) to Loan Repayments: \_\_\_\_\_

Country of Core Participant(s) to Loan Repayments: \_\_\_\_\_

Other Name of Core Participant to Loan Repayment: \_\_\_\_\_

Other Country of Core Participant to Loan Repayment: \_\_\_\_\_

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**OPERATING MANDATE**

Part \_\_\_ / \_\_\_

Account Name: \_\_\_\_\_

Account Number: \_\_\_\_\_

**OPERATING MANDATE**

Product: \_\_\_\_\_ (CCY: \_\_\_\_\_) Signing Requirement  
Authorisation Limit (Please check and complete the application boxes)

Any Amount \_\_\_\_\_

Up to \_\_\_\_\_

Above \_\_\_\_\_ to \_\_\_\_\_

Above \_\_\_\_\_ to \_\_\_\_\_

Above \_\_\_\_\_ to \_\_\_\_\_

Other Signing Requirement \_\_\_\_\_

**PARTICULARS OF SIGNATORY(IES)** Please sign within the boxes below

Name: _____ Residential Address: _____ NRIC / Passport No.: _____ Country of Birth: _____ DOB: _____ Nationality: _____ Home No: _____ Gender: _____ Office No: _____ Position: _____ Mobile No: _____	_____ _____ _____ _____ _____ _____ _____
<b>Additional ID Number</b> Identity Number: _____ ID Type: _____ ID Country of Issue: _____ ID Expiry Date: _____	Signature _____ Group: _____

Name: _____ Residential Address: _____ NRIC / Passport No.: _____ Country of Birth: _____ DOB: _____ Nationality: _____ Home No: _____ Gender: _____ Office No: _____ Position: _____ Mobile No: _____	_____ _____ _____ _____ _____ _____ _____
<b>Additional ID Number</b> Identity Number: _____ ID Type: _____ ID Country of Issue: _____ ID Expiry Date: _____	Signature _____ Group: _____

Name: _____ Residential Address: _____ NRIC / Passport No.: _____ Country of Birth: _____ DOB: _____ Nationality: _____ Home No: _____ Gender: _____ Office No: _____ Position: _____ Mobile No: _____	_____ _____ _____ _____ _____ _____ _____
<b>Additional ID Number</b> Identity Number: _____ ID Type: _____ ID Country of Issue: _____ ID Expiry Date: _____	Signature _____ Group: _____

Name: _____ Residential Address: _____ NRIC / Passport No.: _____ Country of Birth: _____ DOB: _____ Nationality: _____ Home No: _____ Gender: _____ Office No: _____ Position: _____ Mobile No: _____	_____ _____ _____ _____ _____ _____ _____
<b>Additional ID Number</b> Identity Number: _____ ID Type: _____ ID Country of Issue: _____ ID Expiry Date: _____	Signature _____ Group: _____

Name: _____ Residential Address: _____ NRIC / Passport No.: _____ Country of Birth: _____ DOB: _____ Nationality: _____ Home No: _____ Gender: _____ Office No: _____ Position: _____ Mobile No: _____	_____ _____ _____ _____ _____ _____ _____
<b>Additional ID Number</b> Identity Number: _____ ID Type: _____ ID Country of Issue: _____ ID Expiry Date: _____	Signature _____ Group: _____

Name: _____ Residential Address: _____ NRIC / Passport No.: _____ Country of Birth: _____ DOB: _____ Nationality: _____ Home No: _____ Gender: _____ Office No: _____ Position: _____ Mobile No: _____	_____ _____ _____ _____ _____ _____ _____
<b>Additional ID Number</b> Identity Number: _____ ID Type: _____ ID Country of Issue: _____ ID Expiry Date: _____	Signature _____ Group: _____

Name: _____ Residential Address: _____ NRIC / Passport No.: _____ Country of Birth: _____ DOB: _____ Nationality: _____ Home No: _____ Gender: _____ Office No: _____ Position: _____ Mobile No: _____	_____ _____ _____ _____ _____ _____ _____
<b>Additional ID Number</b> Identity Number: _____ ID Type: _____ ID Country of Issue: _____ ID Expiry Date: _____	Signature _____ Group: _____

Name: _____ Residential Address: _____ NRIC / Passport No.: _____ Country of Birth: _____ DOB: _____ Nationality: _____ Home No: _____ Gender: _____ Office No: _____ Position: _____ Mobile No: _____	_____ _____ _____ _____ _____ _____ _____
<b>Additional ID Number</b> Identity Number: _____ ID Type: _____ ID Country of Issue: _____ ID Expiry Date: _____	Signature _____ Group: _____

Name: _____ Residential Address: _____ NRIC / Passport No.: _____ Country of Birth: _____ DOB: _____ Nationality: _____ Home No: _____ Gender: _____ Office No: _____ Position: _____ Mobile No: _____	_____ _____ _____ _____ _____ _____ _____
<b>Additional ID Number</b> Identity Number: _____ ID Type: _____ ID Country of Issue: _____ ID Expiry Date: _____	Signature _____ Group: _____

Name: _____ Residential Address: _____ NRIC / Passport No.: _____ Country of Birth: _____ DOB: _____ Nationality: _____ Home No: _____ Gender: _____ Office No: _____ Position: _____ Mobile No: _____	_____ _____ _____ _____ _____ _____ _____
<b>Additional ID Number</b> Identity Number: _____ ID Type: _____ ID Country of Issue: _____ ID Expiry Date: _____	Signature _____ Group: _____

Name: _____ Residential Address: _____ NRIC / Passport No.: _____ Country of Birth: _____ DOB: _____ Nationality: _____ Home No: _____ Gender: _____ Office No: _____ Position: _____ Mobile No: _____	_____ _____ _____ _____ _____ _____ _____
<b>Additional ID Number</b> Identity Number: _____ ID Type: _____ ID Country of Issue: _____ ID Expiry Date: _____	Signature _____ Group: _____

_____ _____ _____ _____ _____ _____ _____	_____ _____ _____ _____ _____ _____ _____
_____ _____ _____	_____ _____ _____

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# CERTIFIED TRUE EXTRACT OF RESOLUTIONS

## ACCOUNTS & SERVICES RESOLUTION

(Where Applicant is a Sole-Proprietorship)

I/We, the undersigned, hereby certify that in respect of the Applicant (as defined in the extract below), the following Resolutions dated \_\_\_\_\_ have been duly adopted and passed by:-

- where the Sole-Proprietor is a corporation,**  
the Board of Directors of the corporation in accordance with its memorandum and articles of association/charter/constitution,
- where the Sole-Proprietor is a limited liability partnership ("LLP"),**  
the partners of the LLP in accordance with its LLP agreement,
- where the Sole-Proprietor is a partnership,**  
the partners of the partnership in accordance with its partnership agreement,
- where the Sole-Proprietor is a society, association or club,**  
the duly authorised committee or body of the society, association or club in accordance with its rules, charter or constitution,

**which Resolutions are now in full force and effect.**

### "RESOLVED:

#### **Appointment of Bankers**

1. That United Overseas Bank Limited and Far Eastern Bank Limited (each "the Bank" and together "the Banks") be and are hereby appointed as bankers of \_\_\_\_\_ (name of Sole-Proprietor), trading as \_\_\_\_\_ (the "Applicant");

#### **Accounts and Services**

2. That the Applicant be authorised to open any number of accounts of any type and in any currency (each an "Account" and collectively "Accounts") with either of the Banks and to use any of the banking services offered by the Bank from time to time including but not limited to business internet banking, phone banking, self-service machines, cash management, trade services or such other types of services which may be available from the Bank from time to time (each a "Service" and collectively "Services").
3. That for the purpose of these Resolutions, the definitions "**Accounts**" and "**Services**" shall mean **all Accounts and Services of the Applicant with the Bank including Accounts and Services existing as at the date hereof ("Existing Accounts and Existing Services")**.

#### **Appointment and Authority of Approved Persons, Approved Signatories and Approved Users**

4. **Approved Persons**: That the persons whose names are set out in the Schedule hereto be and are hereby appointed as "Approved Persons" with authority to take the following actions in accordance with the signing conditions set out in the Schedule hereto, for and on behalf of and in the name of the Applicant:-
  - (a) to open and close any Account with either of the Banks;
  - (b) to apply for and terminate the use of any of the Services including business internet banking, phone banking, self-service machines, cash management and trade services, with either of the Banks;
  - (c) to agree to abide by the terms and conditions governing the Accounts and Services of the Bank with whom the Accounts or Services are maintained and to accept and agree to all such specific terms and conditions as the Bank may prescribe from time to time in respect of a specific Account or Service;
  - (d) to appoint, add, remove and/or replace any person or persons (including themselves) as signatories of the Accounts ("Approved Signatories") and to set and determine the mandate or authority of the Approved Signatories and to confirm their appointment and certify their specimen signatures and such other particulars as the Bank may require;

- (e) to appoint, add, remove and/or replace any person or persons (including themselves and the Approved Signatories) as signatories or users of the Services ("Approved Users") and to set and determine the mandate or authority of the Approved Users and to confirm their appointment and certify their specimen signatures and such other particulars as the Bank may require;
  - (f) to provide such security as may be required by the Bank in connection with the Accounts and/or Services, and to sign the security documents required by the Bank;
  - (g) to give the Bank written instructions, consents or indemnities in connection with the Accounts and the Services; and
  - (h) to sign any other document as may be required or appropriate or which is incidental to the matters authorised by these Resolutions generally.
5. **Approved Signatories:** That the Approved Signatories be and are hereby authorised to perform the following acts and things for and on behalf of the Applicant, according to the signatory requirements and limits (if any) set by the Approved Persons:-
- (a) to operate the Accounts and to close any Account of which they are the Authorised Signatories;
  - (b) to apply for, obtain, use and avail of any temporary overdraft or ad hoc trade or other facility or banking accommodation (including without limitation bankers' guarantees, letters of credit, bills of exchange purchased, bills discounting, trust receipts) and in connection therewith:-
    - (i) to pledge, charge, assign, endorse and deliver such goods, moneys, bills of lading, warehouse receipts, rights, title and interests as the Bank may require as security for the facility or banking accommodation;
    - (ii) to sign letters of pledge, charge, indemnity, guarantee, deposit, trust receipt or hypothecation and any other document as the Bank may require;
    - (iii) to give valid receipts and full discharges to the Bank for moneys, documents, securities or other property released by the Bank to the Applicant;
  - (c) to enter into foreign exchange trades and transactions with the Bank and to sign all documents in connection therewith;
  - (d) to authorise and request the Bank to purchase or sell for account of the Applicant, currencies, stocks, bonds and other securities and gold or other precious metals and to sign all documents in connection therewith; and
  - (e) to deal and agree with the Bank on any of the matters set out in this Resolution 5 generally.
6. **Approved Users:** That the Approved Users shall use the Services according to the authority specified by the Approved Persons for each of them and each Approved User may terminate his own use of the Services at any time. The Approved Users shall include the persons (by whatever name called in the documents for the Services) who have been appointed to use the Services by the Approved Persons.
7. That the Bank be and is hereby authorised to act on any instruction from the Approved Persons, Approved Signatories or Approved Users and honour any instrument, document, or instruction given by them within the limits and scope of their authority.

**Change of Approved Persons, Approved Signatories and Approved Users**

8. **Change of Approved Persons:** That any amendment to the list of Approved Persons, their authority and/or their signing conditions shall only be made by amending resolutions duly passed by the Sole-Proprietor, and communicated to the Bank who shall be given a reasonable period of time to effect the amendment.
9. **Change of Approved Signatories and Approved Users:** That any amendment to the list of Approved Signatories and Approved Users and their authority shall only be made by written notice given by the Approved Persons signing according to the signing conditions set out in the Schedule hereto and communicated to the Bank who shall be given a reasonable period of time to effect the amendment.

### **Resolutions in Force and Reasonable Time for Amendment**

10. That the Bank may rely on these Resolutions and the instructions given by the Approved Persons, Approved Signatories and Approved Users under their respective authority until the Bank has received and accepted to be in order, written notice of amendment or revocation of their authority or of these Resolutions and has had a reasonable period of time to give effect to the notice. The Bank shall be entitled to a reasonable period of not less than seven (7) business days from receipt of notice to process the notice. Before the Bank has updated its record, the Bank may act in reliance on the mandates in force prior to receipt of the notice.

### **Amending Resolution for Existing Accounts and Existing Services - Authority for Approved Persons**

11. That the authority conferred on the Approved Persons by these Resolutions may be exercised by the Approved Persons in respect of all Existing Accounts and Existing Services including the authority to amend and revoke the mandate for any such Existing Accounts and Existing Services and until they are amended or revoked, the mandates for the Existing Accounts and Existing Services will remain in force.

### **Authority to Pay**

12. That the Bank may debit the Accounts with any cheque, bill or note drawn on the Accounts or based on instructions, directions or orders given in respect of the Accounts whether the Accounts are in credit or overdrawn or may become overdrawn in consequence of such debit provided that such cheques, bills, notes, instructions, directions or orders are given or signed by the Approved Signatories acting within the limits and scope of their authority.
13. That the Bank be and is hereby authorised to honour all cheques, bills and notes without inquiry as to the circumstances of issue or the disposition of the proceeds even if drawn to the individual order of the person(s) signing, or payable to the Bank or others for his/their account or tendered in payment of his/their obligations.

### **Provision of Constitutive Documents**

14. That the Bank be given a certified true copy of such constitutive documents in respect of the Sole-Proprietor as the Bank may require.

### **Certification of Approved Persons, Specimen Signatures, and Copies / Extracts of Resolutions**

15. That as between the Sole-Proprietor and the Bank:-
- (a) where the Sole-Proprietor is a corporation, any one Director and Company Secretary or any two Directors or such person(s) authorised under its memorandum and articles of association/charter/constitution,
  - (b) where the Sole-Proprietor is a LLP or a partnership, all its partners<sup>#</sup> or such person(s) authorised under its LLP agreement or partnership agreement,
  - (c) where the Sole-Proprietor is a society, association or club, any two of its office bearers<sup>+</sup>,
- be and are hereby authorised to certify to the Bank:
- (i) the list of Approved Persons, their designation or title and their specimen signatures; and
  - (ii) a true copy or extract of any resolution passed by the Sole-Proprietor (including these Resolutions) in connection with the Accounts and Services and such certification may be relied upon by the Bank as conclusive evidence of the passing of the resolutions so certified.
16. That any [director/company secretary\*] / office bearer / two partners of the Sole-Proprietor be and is hereby authorised to certify the constitutive documents of the Sole-Proprietor and such certification may be relied upon by the Bank as conclusive evidence of the veracity of the constitutive documents."

#### **Legend**

<sup>#</sup> A reference to a "partner" shall be read to include any person who is an authorised representative of such partner.

<sup>+</sup> A reference to an "office bearer" shall be read as a reference to a person who is the president, the secretary, the treasurer, of the committee or other governing body (or persons holding similar or equivalent positions) of the society, association or club.

\* Delete as appropriate



### Schedule of Approved Persons

Applicant Name: \_\_\_\_\_

Signing Conditions of Approved Persons

- Singly  Any two jointly  
 Others (please specify) e.g. : "One A and One B OR One A and One C OR Two Bs")

No	Particulars of Approved Person(s)	Group (A, B or C)	Please sign within the boxes below
1.	Name:  NRIC/Passport No.: Designation/Title:		
2.	Name:  NRIC/Passport No.: Designation/Title:		
3.	Name:  NRIC/Passport No.: Designation/Title:		
4.	Name:  NRIC/Passport No.: Designation/Title:		
5.	Name:  NRIC/Passport No.: Designation/Title:		

Certified this \_\_\_\_\_ day of \_\_\_\_\_ by the following persons for and on behalf of the Applicant:

\_\_\_\_\_  
 Name:  
 NRIC/Passport No.:  
 Designation:

\_\_\_\_\_  
 Name:  
 NRIC/Passport No.:  
 Designation:

\_\_\_\_\_  
 Name:  
 NRIC/Passport No.:  
 Designation:

\_\_\_\_\_  
 Name:  
 NRIC/Passport No.:  
 Designation:



**FATCA – SELF-CERTIFICATION (ENTITY)**

Particulars (Mandatory)	
Registered Name of Business (“the Entity”)	
Country of Incorporation or Organisation	
Registered Address	
Business Registration Number	

**INSTRUCTIONS FOR COMPLETION:**

United Overseas Bank Limited (UOB) is obliged under the Inter Governmental Agreement between the Government of Singapore and the Government of the United States of America to collect certain information about each account holder’s tax residency status and to verify the status of the account holder for FATCA purposes.

Please complete this Self-Certification Form and provide any additional information as may be required. To assist you in completing this form, a glossary of terms is attached to this form. UOB does not provide tax advice. Please consult your tax, legal and/or other professional advisers if you have any question on or in relation to FATCA, any of the U.S. IRS Form or this Self-Certification Form.

**Do not use this Self-Certification form if you are a Financial Institution. Please instead submit an U.S. IRS Form W-8BEN-E / W-8IMY / W-8ECI / W-8EXP / W-9.**

SECTION 1: ENTITIES WITH U.S. TIN	
Are you incorporated, established, constituted or organised in the U.S.?	
<input type="checkbox"/>	Yes. • Please state your U.S. Taxpayer Identification Number (TIN): _____ • Are you a Specified US Person? <b>Yes</b> <input type="checkbox"/> <b>No</b> <input type="checkbox"/> (Please proceed to Section 4)
<input type="checkbox"/>	No. Please complete the following sections.

SECTION 2: CLASSIFICATION FOR FATCA PURPOSES	
Please tick one of the following statements that best describes you.	
<input type="checkbox"/>	<p><b>Active Non-Financial Foreign Entity</b>                      You are not a Financial Institution, <u>AND</u></p> <ul style="list-style-type: none"> <li>Your stock is regularly traded on one or more established securities markets; <u>OR</u></li> <li>You are a Related Entity of an entity the stock of which is regularly traded on an established securities market; <u>OR</u></li> <li>You derive less than 50% of your gross income from Passive Income in the previous year and less than 50% of your assets were used to produce Passive Income in the previous year; <u>OR</u></li> <li>Charities registered under the Singapore Charities Act; <u>OR</u></li> <li>Substantially all your business activities consist of                             <ul style="list-style-type: none"> <li>holding (wholly or partially) the outstanding share of, <u>OR</u></li> <li>providing financing and services to, one or more subsidiaries that engage in non-FI trade/business. However, you do not operate as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes.</li> </ul> </li> </ul>
<input type="checkbox"/>	<p><b>Exempt Beneficial Owner</b>                      You are any of the following:</p> <ul style="list-style-type: none"> <li>Government and government-linked entities (including Singapore Ministries, Statutory Boards, Town Councils)</li> <li>International Organisations</li> <li>Central Bank</li> <li>Qualifying Funds</li> <li>Investment Entity wholly owned by exempt beneficial owners</li> </ul>

Please provide your Non-US TIN:  
\_\_\_\_\_

<input type="checkbox"/>	<b>Passive Non-Financial Foreign Entity (NFFE)</b> <ul style="list-style-type: none"> <li>You are not able to come within the definition of a Financial Institution, Active NFFE or Exempt Beneficial Owner (Please proceed to Section 3)</li> </ul>	Please provide your Non-US TIN: <hr/>
<input type="checkbox"/>	<b>Others</b> You do not fall under any of the above statuses. Please provide an U.S. IRS Form W-8BEN-E / W-8IMY / W-8ECI / W-8EXP.	

**SECTION 3: U.S. CONTROLLING PERSONS OF PASSIVE NFFE**

Please tick one of the following statements if your FATCA Classification is Passive NFFE

- None of the Controlling Persons (whose percentage of ownership is 25% or greater) of the Company is/are U.S. Person(s)
- One or more of the Controlling Persons (whose percentage of ownership is 25% or greater) of the Company **is/are** U.S. Person(s) details of whom are listed below.

Name	Address	U.S. TIN

We undertake to immediately notify the Bank in writing if any other person(s) become(s) a US Controlling Person(s).

We also undertake to provide such documents as the Bank may require for the opening/operating of this account and from time to time in its sole discretion for the purpose of the Bank satisfying itself that the Controlling Person(s) is/are not a US Person(s).

**SECTION 4: ACCEPTANCE AND UNDERTAKINGS**

We confirm and declare that all of the information we have provided on this form is complete, current and accurate. We undertake to notify United Overseas Bank Limited in writing of any change in circumstances that causes any certification on this form to become incorrect and to provide an appropriately updated form within 30 days of such change.

Without prejudice to any other rights or remedies the Bank may otherwise have, we shall indemnify, keep indemnified and hold harmless the Bank against any and all liabilities, actions, claims, losses, damages, costs and expenses (including but not limited to legal costs on a full indemnity basis) suffered or incurred by the Bank as a result of, or in connection with any of the Beneficial Owners' US Person(s) Declaration being inaccurate, outdated or untrue.

Signature**  Name  NRIC/ Passport*  Date	Signature**  Name  NRIC/ Passport*  Date
--	--

**\*\* To be signed by any 2 directors OR 1 director & 1 company secretary**

**GLOSSARY**

**Controlling Person**, in Singapore, is considered an individual who:

- a) Owns an interest of more than 25% of the capital in the entity;
- b) Can exercise more than 25% of the voting power at the shareholders meeting of the entity;
- c) Has direct or indirect control or power of decision in the entity;
- d) Is a beneficiary of 25% or more of the capital of the entity; or
- e) Has particular control over 25% or more of the capital of the entity.

\*Please delete where not applicable.

## GLOSSARY

**Entity** refers to a legal person or a legal arrangement. A Trust is also considered to be an entity.

**Established securities market** refers generally to a Securities exchange that; (i) is officially recognized, sanctioned, or supervised by Singapore or a governmental authority of the foreign country in which the market is located; and (ii) has an annual value of shares traded on the exchange (or a predecessor exchange) exceeding \$1 billion during each of the three calendar years.

**FATCA** is an abbreviation of Foreign Account Tax Compliance Act. This United States tax legislation is aimed at identifying U.S. Persons that have an account or financial assets outside of the United States. The government of the Singapore has concluded an agreement with the government of the United States with respect to the exchange of information regarding U.S. Persons in Singapore.

**Financial Institution (FI)** means: a custodial institution; a depository institution; an investment entity; or a specified insurance company.

**Non- Financial Foreign Entity (NFFE)** refers to any non-US incorporated entity that is not a Foreign Financial Institution ("FFI").

**Passive income** refers generally to the portion of gross income that consists of:

- a) Dividends and dividend substitute payments (income equivalent to dividend);
- b) Interest and income equivalent to interest;
- c) Rents and royalties, other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFFE;
- d) Annuities;
- e) Net gains from the sale or exchange of property that gives rise to passive income described under (a) to (d) above.
- f) Income from swap-contracts;
- g) Foreign currency gains
- h) Rental income of real estate property provided that this income can be obtained by performing little to no activity.

The list above is not exhaustive. A complete overview of passive income can be found on [www.irs.gov](http://www.irs.gov). If you are uncertain whether income is passive income, please consult a tax adviser.

### Related Entity

An entity is a "Related Entity" of another Entity if either entity controls the other entity, or the two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the vote or value in an entity. Notwithstanding the foregoing, Singapore may treat an entity as not a Related Entity of another entity if the two entities are not members of the same Expanded Affiliated Group ("EAG").

**Specified US Person** means any US Person other than those specifically excluded. The United States tax authorities (IRS) have excluded certain U.S. Persons from the requirement to be reported by financial institutions pursuant to FATCA. An entity will usually know whether it can be excluded according to specific requirements of the IRS and United States tax legislation (U.S. Internal Revenue Code). If you are uncertain whether the entity has this specific status, please visit [www.irs.gov](http://www.irs.gov) for more information or consult a tax adviser. The following are not Specified US Persons: -

- a) A publicly traded corporation or member of its expanded affiliated group;
- b) The U.S, any states of the U.S., U.S. Territory, political subdivision of any of foregoing or any wholly owned agency or instrumentality thereof;
- c) Organisation exempt from tax under Section 501(a) or an individual retirement plan;
- d) Banks;
- e) Real estate investment trusts;
- f) Regulated investment company or entity registered with the U.S. Securities and Exchange Commission;
- g) Common trust fund or trust exempt from tax;
- h) A U.S. registered dealer in securities, commodities or derivatives;
- i) A broker; or
- j) Tax-exempt trust under a plan.

### Taxpayer Identification Number (TIN)

A TIN or tax reference number is a unique number used by the tax authorities to identify a person or business in the tax system for the purpose of tax administration. For example, Unique Entity Number (UEN) is used by Singapore entities to file tax returns with Inland Revenue Authority of Singapore.

### US Person means

- a) A US citizen or resident individual,
- b) A partnership or corporation organized in the US or under the laws of the US or any state of the US,
- c) A trust if (i) a court within the US would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of trust, and (ii) one or more US Persons have the authority to control all substantial decisions of the trust, or an estate of a decedent that is a citizen or resident of the US;

As interpreted in accordance with the US Internal Revenue Code.

### U.S. TIN (U.S. Taxpayer Identification Number)

The 'Taxpayer Identification Number' (TIN), which is also known as 'U.S. federal taxpayer identification number', is used by the IRS as an identification number for tax administration. This number can be requested via [www.irs.gov](http://www.irs.gov).

- a) For residents and citizens of the United States this number is the 'Social Security Number' (SSN). You can request a social security number via IRS form SS-5 or [www.irs.gov](http://www.irs.gov).

**GLOSSARY**

- b) Non-U.S. individuals who are United States taxpayers can request an 'Individual Tax Identification Number' (ITIN) via IRS form W-7 or [www.irs.gov](http://www.irs.gov).
- c) For organizations and employers the U.S. TIN is the Employer Identification Number (EIN). This number may be requested via IRS form SS-4 or [www.irs.gov](http://www.irs.gov).
- More information is available about identification numbers and the process to request these numbers on [www.irs.gov](http://www.irs.gov).

**For Bank Use Only****FOR BRANCHES/BUSINESS UNIT USE ONLY**

FATCA cure documents enclosed:-

Please state: \_\_\_\_\_

FATCA Identification Status :  Complete       Pending

Attended/Processed By\*

Name:  
Lan ID:  
Staff ID

\_\_\_\_\_  
Signature/Date

Signature Verified by (For Thumbprint-Witnessed by):

Name:  
Lan ID:  
Staff ID

\_\_\_\_\_  
Signature/Date

**FOR CMOC USE ONLY**

Processed By

\_\_\_\_\_  
Signature and Name

\_\_\_\_\_  
Date

Approved By

\_\_\_\_\_  
Signature and Name

\_\_\_\_\_  
Date